

Simple Harmonic Oscillator:

The potential for a harmonic oscillator is:

$V = \frac{1}{2} m \omega^2 x^2$        $\omega$ : natural frequency

The importance of harmonic oscillator is that small fluctuations of a system near its point of equilibrium follows

$V = \frac{1}{2} m \omega^2 x^2$ ;

$V'(x_0) = 0 \Rightarrow V(x_0 + \delta x) \approx V(x_0) + \frac{1}{2} V''(x_0) \delta x^2$

If  $V''(x_0) > 0$ , equilibrium point is stable and for

small  $\delta x$  the system undergoes harmonic motion.

The equation of motion for classical oscillator is:

$m \ddot{x} + \omega^2 x = 0 \Rightarrow x = A \sin \omega t + B \cos \omega t$

It is easy to see that the time-averaged kinetic

and potential energy are equal, as expected for

$x^2$  potential from virial's theorem.

$$\langle T \rangle = \frac{1}{2} m \omega^2 \langle (A \cos \omega t - B \sin \omega t)^2 \rangle = \frac{1}{4} m \omega^2 (A^2 + B^2)$$

$$\langle V \rangle = \frac{1}{2} m \omega^2 \langle (A \sin \omega t + B \cos \omega t)^2 \rangle = \frac{1}{4} m \omega^2 (A^2 + B^2)$$

$$E = T + V = \frac{1}{2} m \omega^2 (A^2 + B^2)$$

Now let's turn to quantum oscillator. The eigenvalue problem in this case is:

$$H |E\rangle = E |E\rangle$$

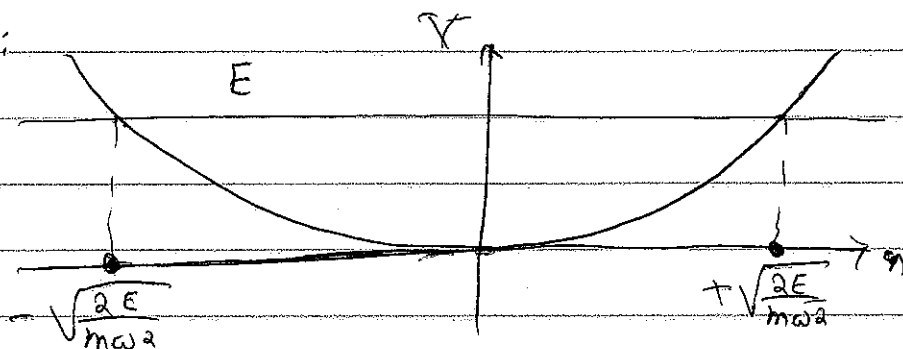
$$H = \frac{p^2}{2m} + \frac{1}{2} m \omega^2 x^2$$

where in the eigenbasis of  $x$ :

$$H = -\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + \frac{1}{2} m \omega^2 x^2$$

First of all, we note that the energy eigenstates are all bound states. No matter how large  $E$  is, at sufficiently large distance  $|x| > \sqrt{\frac{2E}{m\omega^2}}$  we have

$E < V$ :



Therefore the spectrum of harmonic oscillator is discrete.

Moreover, all the energy eigenvalues are positive.

For an arbitrary state vector  $|\psi\rangle$ , we have:

$$\langle H \rangle = \langle \psi | \frac{p^2}{2m} + \frac{1}{2} m \omega^2 X^2 | \psi \rangle = \langle \psi | \frac{p^2}{2m} | \psi \rangle + \langle \psi | \frac{1}{2} m \omega^2 X^2 | \psi \rangle$$

$$= \frac{1}{2m} \langle p\psi | p\psi \rangle + \frac{1}{2} m \omega^2 \langle X\psi | X\psi \rangle$$

Each of these terms are positive definite in general. However, it is not possible that  $X|\psi\rangle = 0$  at the same time. This comes from the commutation relation between  $X$  and  $p$ :

$$[X, p] = i\hbar \Rightarrow Xp|\psi\rangle - pX|\psi\rangle = i\hbar|\psi\rangle$$

If  $X|\psi\rangle = 0$ , then the left hand side is zero, while the right hand side is not.

We therefore conclude that  $\langle H \rangle > 0$ , implying that the smallest energy eigenvalue (i.e. ground

state energy) is positive.

Since the Hamiltonian is quadratic in both  $p$  and  $X$ , it suggests that the eigenvalue problem may have a solution that has the same form in  $X$  and  $p$  eigenbases.

Namely, a Gaussian wavepacket. Indeed, we can

check that  $\Psi_{(n)} = \left(\frac{\pi}{\alpha}\right)^{-1/4} \exp\left(-\frac{\alpha}{2} x^2\right)$  is a solution,

where:

$$\alpha = \frac{m\omega}{\hbar}, \quad E = \frac{1}{2} \hbar\omega$$

As it turns out, this is the ground state of harmonic oscillator.

In the  $X$  eigenbasis, the energy eigenstates are given by (detailed derivation in the book):

$$\Psi_n(x) = \left(\frac{m\omega}{\pi \hbar^2 2^{2n} (n!)^2}\right)^{1/4} \exp\left(-\frac{m\omega x^2}{2\hbar}\right) H_n\left[\left(\frac{m\omega}{\hbar}\right)^{1/2} x\right]$$

The corresponding energy eigenvalues are  $E_n = \left(n + \frac{1}{2}\right) \hbar\omega$ ,

and  $n=0, 1, \dots$ .  $H_n$  are Hermite polynomials, that satisfy the orthonormality condition:

$$\int_{-\infty}^{+\infty} H_n(y) H_m(y) e^{-y^2} dy = \delta_{nm} (\sqrt{\pi} 2^n n!)$$

$H_n(y)$  are even functions for  $n=0, 2, \dots$  and odd functions for  $n=1, 3, \dots$ . Therefore energy eigenstates are Hermite polynomials with Gaussian envelope.

Energy Basis:

One can define two operators  $a, a^\dagger$  according to:

$$a = \left(\frac{m\omega}{2\hbar}\right)^{\frac{1}{2}} X + i\left(\frac{1}{2m\omega\hbar}\right)^{\frac{1}{2}} P$$

$$a^\dagger = \left(\frac{m\omega}{2\hbar}\right)^{\frac{1}{2}} X - i\left(\frac{1}{2m\omega\hbar}\right)^{\frac{1}{2}} P$$

They satisfy the commutation relation:

$$[a, a^\dagger] = 1$$

And we have:

$$H = \left( a^\dagger a + \frac{1}{2} \right) \hbar \omega$$

Consider an energy eigenstate  $|E\rangle$  such that  $H|E\rangle = \hbar \omega \left( E + \frac{1}{2} \right) |E\rangle$ . Then we find:

$$H(a|E\rangle) = \hbar \omega \left( E - \frac{1}{2} \right) a|E\rangle, \quad H(a^\dagger|E\rangle) = \hbar \omega \left( E + \frac{3}{2} \right) a^\dagger|E\rangle$$

Thus:

$$a|E\rangle = C_E |E-1\rangle, \quad a^\dagger|E\rangle = C_{E+1} |E+1\rangle$$

$C_E, C_{E+1}$  are constants that we will find later.

Hence if  $|E\rangle$  is an eigenstate with eigenvalue  $\hbar \omega \left( E + \frac{1}{2} \right)$ , the  $a|E\rangle$  ( $a^\dagger|E\rangle$ ) will be an eigenstate with eigenvalue  $\hbar \omega \left( E - \frac{1}{2} \right)$  ( $\hbar \omega \left( E + \frac{3}{2} \right)$ ).

For this reason we call  $a$  and  $a^\dagger$  lowering and raising operators respectively.